

## N THE STATES PATENT AND TRADEMARK OFFICE

In re application of

or approximent of

Shirou SAWA : Mail Stop: PCT

Serial No. 10/578,359 : Attorney Docket No. 2006\_0587A

Filed May 5, 2006

AQUEOUS SOLUTION PREPARATION CONTAINING AMINOGLYCOSIDE ANTIBIOTIC AND BROMFENAC [Corresponding to PCT/JP2004/016849 Filed November 12, 2004]

## **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- [X] each U.S. Patent and U.S. Patent application publication;
  [] each reference previously cited in the international application PCT/\_\_\_\_\_\_; and/or
  [] each reference previously cited in prior parent application Serial No. \_\_\_\_\_\_.
  1a. [X] This Information Disclosure Statement is submitted:
  - within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

1b. [] This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

- (1) [] the certification of paragraph 2 below is provided, or
- (2) [] the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.
- 1c. [] This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

- 2. It is hereby certified
  - a. [] that each item of information contained in this Information Disclosure

    Statement was first cited in any communication from a foreign patent office in a

    counterpart foreign application not more than three months prior to the filing of
    the Statement, or
  - b. [] that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. [] Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested. 4. For each non-English language reference listed on the attached form PTO-1449, reference is made to: a. [] a full or partial English language translation submitted herewith, b. [] a foreign patent office search report (in the English language) submitted herewith, the concise explanation contained in the specification of the present application c. [] at page, the concise explanation set forth in the attached English language abstract, d. [] e. [] the concise explanation set forth below or on a separate sheet attached to the reference: 5. [] A foreign patent office search report citing one or more of the references is enclosed. 6. [X] English translation of the International Preliminary Report on Patentability. Respectfully submitted, Shirou SAWA

Warren M. Cheek, Jf. Registration No. 33,367

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Sheet 1 of 1 INFORMATION DISCLOSURE STATEMENT								
FÖRM PTO 1449 (modifiéd)  U.S. DEPARTMENT OF COMMERCE				ATTY DOCKET NO. 2006_0587A		SERIAL NO. 10/578,359 AUG 28 2006		
PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICANT(S)				ATTY DOCKET NO. 2006_0587A SERIAL NO. 10/578,359 AUG. 2 8 2006  APPLICANT Shirou SAWA				
(Use several sheets if necessary)  Date Submitted to PTO: August 28, 2006				FILING DATE GROUP May 5, 2006				
U.S. PATENT DOCUMENTS								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	AA	4,910,225	3/1990	Ogawa et al.			Corresponds to AF	
	АВ							
	AC							
	AD						<u> </u>	
	AE							
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AF	2683676	8/1987	JP '			NO	
	AG	8-291065	11/1996	JP			Partial Translation	
	АН	2000-212088	8/2000	JP			Yes	
	Al	9-301866	11/1997	JP			Partial Translation	
	AJ	95/33457	12/1995	wo				
	AK	94/17785	8/1994	wo				
	AL	·						
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)								
	AM	H. Guo et al., "Ion-Paired Codrug for Increased Ocular Absorption", Proceed. Int'l. Symp. Control Release Bioact. Mater, Vol. 24, pp. 617-618, 1997.						
	AN							
	AO							
			<u>.</u>					
EXAMINER				DATE CONSIDERED				

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy this form with next communication to applicant.